



{ Fiduciary Assessment }

» OVERVIEW

The term “fiduciary” applies to someone who is managing the assets of another person and stands in a special relationship of trust, confidence and/or legal responsibility. Examples include trustees of personal trusts, and members of investment committees of retirement plans, foundations and endowments.

A fiduciary is required by law to always act in the best interests of their client, beneficiary or retirement plan participant. Yet, many fiduciaries are not even aware of their duties or responsibilities.

» THE NEED FOR A FIDUCIARY ASSESSMENT

An assessment provides the opportunity for an investment fiduciary to receive feedback regarding their investment process and identifies methods for improving their process by introducing the Prudent Investment Practices developed by the Foundation for Fiduciary Studies.

» HOW WE CAN HELP

We provide the following fiduciary consulting services:

- Comprehensive fiduciary assessments
- Targeted consulting on specific fiduciary issues
- Cost analysis of service providers to plan sponsors
- Audits required by the Pension Protection Act of 2006 for “eligible investment advice arrangements” and computer driven advice models

» WHY CHOOSE REDW STANLEY FINANCIAL ADVISORS?

By choosing REDW Stanley you will receive the services of an AIFA® (Accredited Investment Fiduciary Auditor). AIFA® designees have successfully completed a specialized program on fiduciary standards of care at the Center for Fiduciary Studies. Designees have passed a comprehensive examination on the 27 Prudent Investment Practices that formed the basis of their training. The 27 Practices that designees follow have been fully substantiated by legislation, case law and regulatory opinion letters that govern the fiduciary. Each of the 27 Practices also makes good investment sense, and utilizing the process they define should help to improve long term investment performance. REDW Stanley is itself a fiduciary to its clients.

At REDW Stanley Financial Advisors, integrity counts.

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